

Conflicts of Interest

WEB BASED SYSTEM

Systems and processes to enforce corporate policies relating to potential conflicts of interests that directors, staff, advisors and suppliers may have.

> Reduces risk of wrongdoing and corruption

Potential conflicts monitored and evaluated reducing the risk of staff breaking laws and regulations.

Helps in the defense of bribery and corruption allegations from staff, third parties and media.

Mitigates fines and prison sentences should a conviction be made by the courts.

Protects the organisations reputation and brand.

> Easily used by all stakeholders

Always accessible via the internet or phone giving no reason for not making declarations immediately.

Easy to use input screens with automated approval and escalation processes.

Business partners such as representatives, agents and consultants can be given access.

> Stakeholder awareness and training

Stakeholders are systematically reminded of their obligations to regularly update their declarations.

Communicates policies, procedures and processes, creating an overt good governance culture.

Automated approval and escalation workflows can be defined to minimise disruption and bureaucracy.

> Management information

Gives a clear view of potential risks from matters of staff secondary employment through to providing consulting to competitor organisations.

> Transparency and accountability

Easy to use system that is available to users anywhere, any time:

- > Almost all users require no training.
- > Accessed via the internet with optional call centre support for those without access.
- > Records all declarations relating to potential conflicts of interests.
- > Customised approval processes can be programmed to speed up approval timescales.
- > Each transaction can be risk rated and approval decisions documented.
- > Supporting electronic documentation can be uploaded and stored.
- > Extensive management reporting capabilities using the systems inbuilt report writer.
- > Full user profile and internet security functionality.
- > Can be hosted either from our own servers or placed on your own preferred platform.

Ref	Date Entered	Full Name	Title	Declared By/To	Description	Status	Value	Within Policy	Authoriser
25136	11/01/2011	Philip Thew	Christmas Hampers	Kelvie	Christmas hamper value 220 approx	Closed	£125.00	Yes	Neil Barker
25146	11/01/2011	John Brown	Wines England on website	Mickolake	*7 tickets for six nations to be split up between team	Closed	£285.00	Yes	Ricky Halc
25144	11/01/2011	Joan Tooley	Quarterly Business Review	Shel and Sybil	London Eye, London Dungeons and West End show in London, also meals for two outdoor vendors (Dylan and Sam) 7 people coming to first of three shows for the Quarter Business Review. This is the first time in 17 years as substance vendors that they have been able to come to the UK. They always provide business meals each time we are in the Philippines, so this is our first opportunity to reciprocate.	Closed	£150.00	Yes	Peter Dick
25142	11/01/2011	Jane Hill	Received bottle of champagne	Duff & Phelps	Bottle of champagne received as a gift on the 1st of my daughter. As I don't drink, I passed this on to another member of our project team	Closed	£125.00	Yes	Rebecca Gid
25139	11/01/2011	David Jacob	Preseason Football Match	ESP	Arsenal Men City Corporate Hospitality	Closed	£200.00	Yes	Amar Tross
25137	11/01/2011	Simon Broadbent	Rugby 6 Nations	Hughes	Rugby match and hospitality	Pending	£150.00	Yes	Amar Tross
25133	10/01/2011	Philip Thew	Monthly meeting with BSN	IBM UK Limited	Lunch at restaurant with BSN. This followed our regular monthly meeting	Closed	£75.00	Yes	Jan Drayn
25132	10/01/2011	Philip Thew	Wines Gift	Gaso	Two video cameras	Pending	£300.00	Yes	Andrew Ba
25131	10/01/2011	Charles Lock	Lunch with Raddal Point	Raddalpoint	Lunch	Closed	£50.00	Yes	Andrew Ba
25130	10/01/2011	Joan Tooley	Shooting day with Fulham	Fulham	Day out shooting and dinner	Pending	£400.00	Yes	Andrew Ba
25129	10/01/2011	Dave Dando	Liberty Golf Day with GSH	Liberty	Golf hosted by Liberty with Jeff and Cannon (Andrew)	Closed	£50.00	Yes	Jeff Halc

PROFESSIONAL SERVICES

Risk Avert help clients protect themselves against the potential damage that can result from poor business controls, weak corporate governance, fraud and unethical practices.

As well as providing the Conflicts of Interest system, Risk Avert will also provide support to:

- > Define policies, processes, processes
- > Train and develop staff
- > Manage specific processes
- > Conduct investigations
- > Customise and implement systems

Other Solutions

Risk Avert provides a comprehensive range of corporate governance solutions including software, call centers and professional services.



- > Whistleblowing and Security
- > Gifts and Hospitality
- > Risk Management
- > Professional Recommendation Tracking
- > Health and Safety
- > Crime Reporting

Our clients include both large organisations such as Virgin Media and Sainsbury's and small medium enterprise enterprises.

More information about these services can be found at our website or by calling Risk Avert.